Albert Einstein College of Medicine

NEW YORK REGIONAL CENTER FOR DIABETES TRANSLATION RESEARCH

PILOT AND FEASIBILITY PROGRAM FUNDING ANNOUNCEMENT: 2021-2022

The *New York Regional Center for Diabetes Translation Research* (NY-CDTR) announces the availability of **Pilot and Feasibility (P&F) funding** for preliminary studies to support planned extramural funding applications (NIH preferred) for **diabetes-related translational research**. **Secondary analyses of existing, pertinent large data sets, which can lead to external funding, are a priority.**

Budget requests can be up to $50,000/year for one-year grant proposals, or up to $25,000/year for 2 years. For *2021-2022*, meritorious proposals for $50,000/year for 2 years will also be considered, with a maximum of one award being granted. A 2nd year of funding is always contingent on progress and available program funding.

Proposals should use translational research methods such as: implementation or dissemination science, natural experiment methods, as well as quantitative or qualitative methods to investigate how to improve diabetes prevention or care and outcomes at the individual, group, health system or population level.

Applications involving research in **diabetes/obesity across the life span, population health, health systems, or natural experiments** are strongly encouraged. Translation research focused on **Latinos/Hispanics, health disparities, and social determinants of health** is of particular interest. P&F funds may supplement ongoing funded research projects (e.g., K awards), but **non-overlap** must be clearly demonstrated in the proposal. The NY-CDTR website (www.nycdtr.org) provides information re: core resources and services available to awardees to support their pilot study.

ELIGIBILITY: Must hold the rank of **Assistant Professor** (or equivalent) or higher at the time of the award.

This program is designed to support (*in order of priority*):
1) New investigators, early stage investigators (ESIs), and under-represented minority investigators (URMiR) with interests in diabetes and/or obesity. In this category are investigators shifting from mentor-based research to an independent career. *Meritorious proposals from these investigators receive the highest priority for funding.*
2) Established investigators, in other research areas or cross-disciplinary research, who wish to apply their expertise to diabetes or obesity. *High-risk, high-reward proposals receive priority.*
3) NY-CDTR members who wish to explore a new area of innovative research that constitutes a substantivedeparture from their previous or ongoing externally funded diabetes research.

APPLICATION PROCESS.
Proposals should: 1) clearly delineate the rationale and aims of the research; 2) describe rigorous study procedures; 3) include a data analysis plan; 4) describe use of NY-CDTR Core resources; and 5) discuss how the proposed research will support a future application for external funding (NIH/NIDDK preferred). Ongoing in-kindconsultation and mentorship from NY-CDTR faculty are available to awardees. Email us to request a consult. **Pilot funding may be used to support PI effort at a minimal level. INDIRECT COSTS ARE NOT ALLOWED.** Awardees will present their P& F research at a "Works in Progress" Seminar during the award period and must submit a brief progress report each year. They will also attend a yearly meeting for awardees.

No internal grant forms or approval signatures are needed to submit an application. However, IRB approval is needed for protection of human subjects if the proposal is funded; applicants should apply for thatapproval at the time the proposal receives a fundable score. **Required application components include:** abstract, 1- or 2-year budget, budget justification, research plan (limited to 5 pages), literature cited, NIH Biosketches, and Other Support for all key personnel. Provide these components as a single Word or PDF document. If PDF format is used, also include a copy of the abstract as a Word document. Please use selected NIH PHS 398 grant application forms, which can be downloaded from the NIH website:
http://grants.nih.gov/grants/funding/phs398/phs398.html.

Application Due date: September 15, 2021.
Anticipated start date for successful applications: November 1, 2021.
Proposals should be sent as an e-mail attachment to Josue.Alicea@einsteinmed.org.

To help us select appropriate reviewers or to address your questions, please contact the NY-CDTR P&F Program Directors: Judith.Wylie-Rosett@einsteinmed.org or Elizabeth.Walker@einsteinmed.org

Important Frequently Asked Questions

1. **Do I have to be a CDTR member to apply?**
No, but P&F awardees are generally NY Regional CDTR members or are from institutions at which a CDTR member is based (see: http://www.nycdtr.org) when they are proposing to work in collaboration with or mentored by NY-CDTR members. Thus, proposals will be considered from non-members who apply for membership at the time of submitting their application.

2. **What CDTR core services are available to support developing my proposal and conducting my proposed research?**
You can find the CDTR Core services on the NY-CDTR website: http://www.nycdtr.org.
These in-kind resources and services can supplement your award.

3. **What other institutional resources may be available?**
Institutional resources for facilitating research vary by institution. At Einstein-Montefiore, relevantresources and services for clinical and translational research are described here:
https://einsteinmed.org/intranet/research/ictr/services/

4. **May I budget some of the funding for biostatistical services?**
Yes, but in-kind limited consultative biostatistical services are available, as feasible, from the NY-CDTR. At Einstein-Montefiore, consultation is also available via Walk-in StatisticalCenter Consultation Service: https://einsteinmed.org/uploadedFiles/Centers/ICTR/BERD%20-%20Website.pdf. ***Limited funds may also be included for publication fees or travel for a conference presentation.***

5. **What about patient care and lab test costs?**
Budgets should be developed in accordance with NIH policy regarding patient care costs. See https://grants.nih.gov/grants/policy/nihgps/html5/section_19/19_research_patient_care_costs.htm

6. **May I include indirect costs (IDC) for institutional overhead?**
NO. *Indirect costs (IDC) are not allowable for the limited pilot funds. If your grantsadministrator has IDC questions, please refer the questions to Jennifer.Renta-Barca@einsteinmed.org.*

7. **Is it OK for there to be multiple PIs for pilot funding?**
Yes, if an early-stage or mid-career investigator is working with another investigator in, e.g., a team science model, then an MPI situation may be acceptable. Please query the P&F program directors to check on appropriate PI models. Please keep in mind the limited funding for investigator salary support.

8. **What if I have a K award on a similar topic?**
Your proposal should include a clear explanation of how the pilot funding supports a different aspect ***not covered*** *by your K award. There must be NO overlap.*

9. **What format should I use for my P&F proposal?**
The proposal should be on the NIH 398 template and include: 1) ***Specific Aims****/Research Questions (1 page allowed); 2)* ***Research Approach*** *(5 pages allowed) should address Significance (rationale), Study Procedures, Data Analysis Plan, Use of CDTR Core Resources, Timeline, and How Proposed Research Will Support Future Funding Application (NIH preferred); 3)* ***Literature Cited****; 4)* ***Budget Justification****; 5)* ***NIH Biosketches and Other Support*** *for all key personnel; 5) A* ***Brief Statement*** *on whether you qualify for any of the following designations: a) new investigator status, b) early stage investigator status, c) underrepresented in diabetes translation research (See: https://grants.nih.gov/policy/early-investigators/index.htm and https://grants.nih.gov/grants/guide/notice-files/NOT-OD-20-031.html).*

10. **What else is required of me should I be awarded a NY-CDTR P&F grant?**
The NIH NIDDK requirements for and the NIH definition of a clinical trial in human subjects (HS) for non-exempt research.is available at: https://grants.nih.gov/policy/clinical-trials/definition.htm. ***Tasks to be completed with support of the NY-CDTR during the first 4 months of funding include:*** *Obtaining IRB approval prior to award; Applying for necessary Data Use Agreements prior to award, if applicable; Developing a Data and Safety Monitoring Plan (if applicable, model will be provided); Creating a milestones chart to monitor study progress (model will be provided); Registering the study on clinicaltrials.gov, if applicable; and Submitting planned enrollment and inclusion data in the NIH System.*